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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 1.53(b))</i>		Attorney Docket No. 33997.0089 First Inventor Juergen Pensel et al. Title Ophthalmic Surgical Microscope With A ... Express Mail Label No. ER 525561376 US	
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>		Mail Stop Patent Application Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. See CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 12] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 USC 113)</i> [Total Sheets 1/1] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3/1] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application <i>(of CFR 1.65(a)(4))</i> <i>(for continuation/divisional with Box 18 completed)</i> i. <input checked="" type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies	
ACCOMPANYING APPLICATION PARTS			
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. <input checked="" type="checkbox"/> Other: <u>Checks for \$750.00 and \$40.00</u>			
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of the prior application No: <u>1</u> Prior application information: Examiner: <u> </u> Group/Art Unit: <u> </u> For CONTINUATION OR DIVISIONAL APFS only: The entire disclosure of the prior application, from which no oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label <u> </u> <input type="checkbox"/> Correspondence address below			
NAME		GEORGE L. SNYDER, JR.	
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Registration No. (Attorney/Agent)		37,729	
Signature <u> </u>		Date September 12, 2003	

"Express Mail" Mailing Label Number ER 525561376 US

Date of Deposit September 12, 2003

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Barbara Haggerty
Name
Signature

BFLODOCS:851765

15750 U.S. PATENT TRANSMITTAL

for FY 2003

09/12/2003 01/01/2003. Patent Fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$790.00)

Application Number

Filing Date

09/12/03

First Named Inventor

Juergen Pense et al.

Examiner Name

Group/Art Unit

Attorney Docket Number

33997.0089

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account: Deposit Account Number: 08-2442

Deposit Account Name: Hodges, Russ LLP

The Director is hereby authorized to (check all that apply)

☐ Charge fee(s) indicated below

☐ Charge any fee deficiencies or credit any overpayments

☐ Charge any additional fees during pendency of this application.

☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	\$
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$
1053	130	1053	130	Non-English specification	\$
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	\$
1804	920*	1804	920*	Requesting Publication of SIR prior to Examiner Action	\$
1805	1,840*	1805	1,840*	Requesting Publication of SIR after Examiner Action	\$
1251	110	2251	55	Extension for reply within first month	\$
1252	410	2252	205	Extension for reply within second month	\$
1253	930	2253	465	Extension for reply within third month	\$
1254	1,450	2254	725	Extension for reply within fourth month	\$
1255	1,970	2255	985	Extension for reply within fifth month	\$
1401	320	2401	160	Notice of Appeal	\$
1402	320	2402	160	Filing a brief in support of an appeal	\$
1403	280	2403	140	Request for oral hearing	\$
1451	1,510	1451	1,510	Petition to institute a public use proceeding	\$
1452	110	2452	55	Petition to revive - unavoidable	\$
1453	1,300	2453	650	Petition to revive - unintentional	\$
1501	1,300	2501	650	10 advance copies	\$
1502	470	2502	235	Utility issue fee (or reissue)	\$
1503	630	2503	315	Design issue fee	\$
1460	130	1460	130	Petitions to the Commissioner	\$
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	\$
1806	180	1806	180	Submission of Information Disclosure Statement	\$
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$40
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	\$
1810	750	2810	375	For each add'l invention to be examined (37 CFR 1.129(b))	\$
1801	750	2801	375	Request For Continued Examination (RCE)	\$
1802	900	1802	900	Request for Expedited Examination of a design application	\$
Other fee (specify)					\$
*Reduced by basic filing fee paid					\$
SUBTOTAL (3)					\$40

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code Fee Code Fee Description Fee Paid

1001 750 2001 375 Utility filing fee \$750

1002 330 2002 165 Design filing fee \$

1003 520 2003 260 Plant filing fee \$

1004 750 2004 375 Reissue filing fee \$

1005 160 2005 80 Provisional filing fee \$

SUBTOTAL (1) \$750

2. EXTRA CLAIM FEES FOR UTILITY/REISSUE

Large Entity Small Entity Extra Fee from Claims below

Fee Code Fee Code Fee Description Fee Paid

1201 18 2202 9 Claims in excess of 20 \$

1201 84 2201 42 Independent claims in excess of 3 \$

1203 280 2203 140 Multiple dependent claim if not paid \$

1204 84 2204 42 **Reissue independent claims over original patent \$

1205 18 2205 9 **Reissue claims in excess of 20 and over original patent \$

SUBTOTAL (2) \$0

SUBMITTED BY: George L. Snyder, Jr. Reg. No. 37,729

SIGNATURE: [Signature]

DATE: September 12, 2003 Telephone: (716) 848-1545

Express Mail Mailing Label Number ER 525561376 US

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Barbara Haggerty

Name

Signature

September 12, 2003

Date of Signature

BFLDOCDS: 851758